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APR 25 2007

PATENT  
P57046

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

WON-KYU BANG *et al.*

Serial No.: 10/820,696

Examiner: BROUSSARD, COREY M

Filed: 9 April 2004

Art Unit: 2835

For: DISPLAY APPARATUS HAVING IMPROVED HEAT DISSIPATION  
CAPABILITIES

**REQUEST FOR REFUND AND**  
**PETITION UNDER 37 C.F.R. §1.181**

Mail Stop: Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

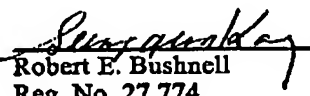
Pursuant to 37 CFR §1.26(a), Applicant respectfully requests refund of monies paid in excess  
due to the circumstances listed herein:

Folio: P57046  
Date: 4/25/07  
I.D.: REB/ML/fw

**CERTIFICATE OF**  
**FACSIMILE TRANSMISSION**

I hereby certify that, on 25 April 2007, this  
correspondence is being facsimile  
transmitted to the U.S. Patent & Trademark  
Office (Facsimile No. 571-273-8300)

Total 6 sheets

For   
Robert E. Bushnell  
Reg. No. 27,774

PATENT  
P57046**STATEMENT OF FACTS**

1. On 16 October 2006, a Final Office action (Paper No. 20061011) was mailed setting a shortened statutory period for reply to three months and extendable up to 6 months from the mailing date (i.e., April 16, 2007;
2. On 8 January 2007, Applicant timely filed an Amendment After Final in reply to the final Office action (Paper No. 20061011) dated 16 October 2006;
3. On 6 April 2007, in absence of any communications from the Patent Office, Applicant's undersigned attorney had a telephone discussion with the Examiner where the Examiner promised the withdraw of the finality of Paper No. 20061011 and the issuance of a new, non-final office action to restart the period for reply, but the Examiner refused to put such a promises into writing;
4. On 6 April 2007, subsequently to the telephone discussion, Applicant submitted the Letter to the Office, confirming the substance of the interview with the Examiner;
5. On 16 April 2007, on the day of the expiration of the non-extendable statutory period, in absence of any communications from the Examiner, Applicant tried again to telephone the Examiner at 571-272-2799 several times but found that the telephone number was no longer assigned to an Examiner. As a result, Applicant

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timely filed a Notice of Appeal and its fee pursuant to 37 CFR §41.20(b)(1), together with a Petition for a three month extension of time and its requisite fee pursuant to 37 CFR §1.17(a)(3), in an aggregate amount of \$1,520.00; and

6. On 19 April 2007, a new non-final Office action (Paper No. 20070221) was mailed and the Examiner stated that the under further consideration the allowability of claims 21, 23 and 24 was vacated and the claims are rejected over new art, and that the finality of the previous office action was withdrawn.

PATENT  
P57048**REMARKS**

The *Manual of Patent Examining Procedure* §714.13 states:

"Any amendment timely filed after final rejection should be immediately considered to determine whether it places the application in condition for allowance or in better form for appeal. An examiner is expected to turn in a response to an amendment after final rejection within 10 calendar days from the time the amendment is received by the examiner. A reply to an amendment after final rejection should be mailed within 30 days of the date the amendment is received by the Office." (Emphasis supplied).

Moreover, considering Applicant's Response After Final to be a request for reconsideration without claim amendments, the Examiner should have submitted his reply within ten calendar days from the time the amendment is received by the Examiner. This is to say, Applicant should not have to file a Notice of Appeal with a three-month extension of time.

Here, more than three months passed since Applicant filed an amendment in response to Paper No. 2061011 was filed and Applicant never received a reply thereto. In fact, Applicant never received any written assurances from the Patent Office until after the statutory period had expired on April 16, 2007. Furthermore, on the day of the expiration of the statutory period, and again on April 25, 2007, the Examiner's telephone number 571-272-2799 stated "you have reached an unassigned number at the U.S. Patent & Trademark Office". Because of this, Applicant reasonably had no choice but to file the Notice of Appeal and the accompanying three month extension of time fee totaling \$1,520.00.

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However, since a new, non-final Office action was mailed on April 19 and received on April 20, 2007 and after the expiry of the statutory period on April 16, these fees of \$1,520.00 are not needed and are thus excess. Applicant therefore requests the refund thereof.

Applicant submits that this is extremely unfair to Applicant in that, Applicant was forced to endure the financial burden in the amount of \$500.00 for filing a Notice of Appeal and the amount of \$1,020.00 for a three-month extension of time, due to the Examiner's failure to provide Applicant a reply to a response to a final rejection in a timely manner in accordance with the guidance set forth in the *Manual of Patent Examining Procedure* or a written assurance that Applicant's patent application will not go abandoned.

Applicant therefore requests that the amount of fee (\$500.00) paid by mistake under 37 CFR §41.20(b)(1), and the fee for a three-month extension of time (\$1,020.00) paid by mistake under 37 CFR §1.17(a)(3), be refunded to Applicant. Applicant respectfully requests such amount be deposited to Deposit Account No. 02-4943 of Applicant's undersigned attorney pursuant to 37 CFR §1.26(a) and (b).


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**RELIEF REQUESTED**

Accordingly, Applicant respectfully requests the Commissioner to:

- A. Refund Applicant for the difference between a three-month extension of time and a one-month extension of time, that is, \$1,520.00, and to deposit such amount in Deposit Account No. 02-4943 of Applicant's undersigned attorney ; and
- B. Grant such other and further relief as justice may require.

Respectfully submitted,

  
Robert E. Bushnell  
Attorney for the Applicant  
Registration No.: 27,774

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Washington, D.C. 20005  
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Date: 4/25/07  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

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Serial No.: 10/820,696

Examiner: BROUSSARD, COREY M

Filed: 9 April 2004

Art Unit: 2835

For: DISPLAY APPARATUS HAVING IMPROVED HEAT DISSIPATION  
CAPABILITIES

**NOTICE OF APPEAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §41.31, Applicant hereby appeals to the Board of Patent Appeals from the final rejection dated 16 October 2006 (Paper No. 20061011) of claims 1-6, 8, 9 and 11-24.

In support of the Notice, the items checked below are appropriate:

- ☐ 1. A response to the final rejection is being filed.
- ☒ 2. Appeal Fee: \$500.00.
- ☒ 3. Enclosed a check No. 52421 for \$500.00.
- ☒ 4. Charge Deposit Account No. 02-4943, if the charges exceed the check amount enclosed.

Repln. Ref: 05/21/2007 SFELEKE1 0008204000  
DAH:024943 Name/Number:10820696  
FC: 9204 \$1520.00 CR

Respectfully submitted,

  
Robert E. Bushnell

Attorney for Applicant ~~TE1 00000000 10820696~~

Reg. No.: ~~01751131~~ 27,774 ~~500.00 OP~~

1522 "K" Street, N.W., Suite 300  
Washington, D.C. 20005  
Area Code: 202-408-9040

Folio: P57046  
Date: 4/16/07  
I.D.: REB/fw

Adjustment date: 05/21/2007 SFELEKE1  
04/17/2007 YPOLITE1 00000000 10820696  
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In re Application of:

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Serial No.: 10/820,696

Examiner: BROUSSARD, COREY M

Filed: 9 April 2004

Art Unit: 2835

For: DISPLAY APPARATUS HAVING IMPROVED HEAT DISSIPATION  
CAPABILITIES

**PETITION FOR EXTENSION OF TIME**

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.136(a), please extend the time for responding to a final Office action (Paper No. 20061011) mailed on 16 October 2006, for three-month to and through **16 April 2007**.

The three-month fee for Large Entity in the amount of **\$1,020.00** is enclosed. (Check No. 52421) Should any additional fees be required under 37 C.F.R. §1.16 or 37 C.F.R. §1.17, please charge them to our Deposit Account No. 02-4943 and advise us accordingly.

Also, should any additional time be required, please accept this as a petition for such additional extension of time and charge our Deposit Account No. 02-4943 and advise us accordingly.

Adjustment date: 05/21/2007 SFELEKE1  
04/17/2007 YPOLITE1 00000008 10820696  
02 FC:1253 -1020.00 OP

Respectfully submitted,

  
Robert E. Bushnell

Attorney for Applicants

Reg. No.: 27,774

02 FC:1253

1020.00 OP

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